Do Not Disclose - Subject to Further Confidentiality Review

Page 1

UNITED STATES DISTRICT COURT
DISTRICT OF ARIZONA

No. MD-15-02641-PHX-DGC

IN RE BARD IVC FILTERS PRODUCTS
LIABILITY LITIGATION

DO NOT DISCLOSE
SUBJECT TO FURTHER CONFIDENTIALITY REVIEW

VIDEOTAPED DEPOSITION OF CLEMENT J. GRASSI, MD

Thursday, June 15, 2017 9:24 a.m.

Held At:

Nelson Mullins Riley & Scarborough LLP
One Post Office Square
Boston, Massachusetts

REPORTED BY:

Maureen O'Connor Pollard, RMR, CLR, CSR

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Page 2
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Page 95 1 the Recovery filter has experienced fractures, 2 why the G2 has experienced fractures, why the 3 Denali has experienced fractures. I see. So if I understand correct, 4 Α. you're asking me do I know of what Bard is doing 6 on this subject. 7 Right. I asked you, for example, what O. is -- what are the mechanisms to explain why the 8 9 filters fracture. You gave me an answer of 10 several mechanisms that have been described in 11 the literature, and you said one of them was 12 metal fatique from stress, from the repetitive 13 changes, cycling of the vena cava. That was 14 one, right? 15 Α. Yes. 16 And then there were several others 17 that you gave in your answer. 18 My question is, do you know what 19 steps, if any, Bard has taken to determine the 20 answer to that question, what is causing the 21 filters to fracture? 22 No, I do not know what steps within 23 the company internally or via its consultants 24 Bard has worked on in that regard.

	Page 96
1	Q. And you have not asked that question
2	either? You have not asked Bard "what have you
3	done to figure this out"?
4	A. I have not personally asked. I have
5	an interest in the subject of vena cava filters,
6	and so in answer to your question, I'm aware of
7	what some of its more recent physician
8	investigators have done in regard to the newer
9	filter version, such as Dr. William
10	Stavroupoulos with the Denali filter.
11	But in specific answer to your
12	question, no, I have not personally asked Bard
13	workers what they've done.
14	Q. And with respect to the first
15	mechanism that you described, which is the
16	fatigue from high cycle stress did I
17	accurately identify that mechanism?
18	A. That's fair.
19	Q what evidence are you aware of in
20	the medical literature that supports that
21	mechanism as explaining fractures?
22	A. Well, I'm aware of what's been
23	commented on in the published literature, not
24	only for Bard devices, but all filters. I'm

	Page 97
1	aware of the testing that goes on by different
2	manufacturers on the benchtop and through
3	repetitive stress testing. I know from personal
4	experience when I participated in the Simon
5	nitinol FDA pre-approval testing what was done
6	in terms of testing with that filter device.
7	And so in specific answer to your
8	question, I'm aware in general of what's
9	reported publicly and data that's publicly
10	available.
11	Q. What is that evidence? What is the
12	evidence that the fractures the mechanism to
13	explain the fractures is high cycle fatigue of
14	the metal?
15	A. Well, the engineer designers or
16	metallurgists, and I'm neither of those, tell me
17	that in terms of the metal itself, that when the
18	number of repetitions goes up into the hundreds
19	of thousands of times, that there is the
20	potential for the metal not staying the same as
21	a new pristine filter. That's one body of
22	evidence.
23	Q. Stop there for a second.
24	Do you agree that there are hundreds

Page 156 number of breaths and the number of cycles per 1 2 day that they quoted as their estimation of 3 standards. 4 And you believe it's reasonable, the discussion in that section, about the need for 6 an IVC filter to have the durability to last ten 7 years if it has an indication to be a permanent filter? 8 9 In general, I agree that durability of Α. 10 vena cava filter devices and longevity is 11 important. 12 And you have not been provided with Ο. 13 anything from the lawyers or from Bard that 14 would show you how Bard and whether Bard did any 15 kind of testing to determine whether any of its 16 retrievable filters could meet that durability 17 standard? 18 MR. BROWN: Object to the form. 19 Well, in answer to that question, I am 20 aware of the processes and the standards that 21 the company C.R. Bard is required to undergo as 22 part of its FDA pre-acceptance testing under 23 what would be a 510(k) application. 24 includes, and I believe I'm correct on this,

	Page 157
1	benchtop testing and stress testing of the
2	device over many hundreds of thousands of
3	cycles. However, I have not been provided the
4	specific documents on that topic.
5	BY MR. ROTMAN:
6	Q. Nor have you been provided with the
7	results of those tests?
8	A. That's correct.
9	Q. So you don't know how those tests
10	what those tests show about the durability of
11	the Bard retrievable filters as compared to
12	their predicate devices?
13	A. Well, I may not have received the
14	multiple individual reports, but I would, of
15	course, rely on the FDA since it is the body
16	which approves the device. And since the device
17	was approved by the US FDA for clinical use,
18	then I would only have to assume that they met
19	or exceeded those benchmarks.
20	Q. Were you ever retained by Bard to
21	consult when it was dealing with a crisis that
22	involved a safety or a design issue with its
23	retrievable filters?
24	MR. BROWN: Object to the form.